



# Risk Factor Matrix

<b>Client</b>	<b>County</b>	<b>Hamilton</b>	<b>Date</b>
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ENVIRONMENT		Score: 2.5 N/A		
	Crisis	Vulnerable	Stable	Thriving
SHELTER	Structure is unsound, has been condemned, and is dangerously unsafe.	Shelter is deteriorating, some broken windows, holes in walls or floors.	Structure has minor structural problems, broken window, some repairs required.	Shelter is sound, no repairs needed.
UTILITIES	Utilities are disconnected or inoperable creating immediate risk.	Utilities are disconnected or inoperable but risk is intermediate.	Utilities are in working order most of the time.	Utilities are operable and no history of disconnections.
HOMELESS	Client is homeless or being evicted and weather conditions are very dangerous.	Client is homeless or threatened with eviction but weather only poses intermediate risk.	Client is homeless or eviction is pending but weather makes risk minimal.	Client is not homeless or being threatened with eviction.
CRIMINAL ACTIVITY	Residence in high crime area, client has been victimized repeatedly.	Residence is in an area that puts client at risk for being the victim of a crime.	Residence is in an area with a low risk of criminal activity.	Residence is in an area with little or no criminal activity.
TRASH/ CLUTTER	Most areas of the household are not accessible due to dangerous accumulations of trash or personal belongings.	Some areas of the household not accessible due to accumulations of trash or personal belongings, intermediate risk.	A few areas of the household are not accessible due to clutter or personal belongings, low risk.	All household areas are accessible and there is little to no clutter.
TRAFFIC	Residence is in a high traffic area and client's wandering puts them at high risk.	Residence is in an area moderate amount of traffic and client tends to wander.	Residence is in a location that poses low risk to a wandering client.	Residence location and client behavior poses little or no risk.
PEST INFESTATION	Severe pest infestation with bugs, mice or rats evident in the food, bed linens, dishes, or on the client with no effort made to control the pests.	Intermediate evidence of pest infestation with some indication of an effort to control the pests.	Some evidence of a reoccurring pest infestation but efforts to control the pests keep the problem to a minimum.	Little or no evidence of any pest infestation.
HUMAN OR ANIMAL WASTE	Extreme filth in the residence including the visible presence of human or animal urine or feces.	Some areas of the house are dirty and there is some evidence of human or animal waste.	Some odor of human or animal waste exists but there is no visible evidence.	There is no evidence or odor of human or animal waste.

FINANCIAL		Score: 1.0 N/A		
	Crisis	Vulnerable	Stable	Thriving
INCOME	Client has no income, is totally dependent on others for finances, basic needs are unmet putting client at high risk.	Client's income is not sufficient to meet most basic needs, choosing between necessities putting client at intermediate risk.	Client has limited income but most basic needs are met with low risk to safety.	Client has sufficient income and all basic needs are met.
MANAGING FINANCES	Client is unaware of financial resources, depends on others to manage money, refuses needed assistance with money management.	Client needs assistance with finances, gives money away despite own needs, is not sure how and where money is spent.	Client occasionally needs help with managing money but for the most part keeps bills paid without help.	Client is able to manage their own money and knows where and to whom it goes, bills are paid consistently.

MISUSE OF FUNDS	Client's money is being taken or misused resulting in the loss of food, shelter, medicines and other basic necessities.	Client's money shows a pattern of misuse which without intervention will result in a lack of basic necessities.	Client's money is being taken or misused but minimal basic needs are usually met.	There is no indication that the client's money is being taken or misused.
CLIENT'S AWARENESS OF FUNDS	Client is unaware of finances and alleged perpetrator has full access to the client's money.	Client is unaware of finances but alleged perpetrator has only sporadic access to the funds.	Client is unaware of finances but responsible parties restrict alleged perpetrator's access.	Client is unaware of finances, responsible party managing money and no indication of exploitation.
SUFFICIENT INCOME	Client has sufficient income but standard of living does not match resources.	Client has sufficient income but bills are paid sporadically resulting in loss of utilities, food or medication.	Client has sufficient income but needs occasional assistance with finances.	Client has sufficient income and manages income independently.

PHYSICAL HEALTH			Score: <u>1.3</u> N/A	
	Crisis	Vulnerable	Stable	Thriving
AMBULATION	Bedfast	Difficulty ambulating, requires prosthesis (cane or walker) or hands on help to ambulate	Occasionally non-ambulatory, ambulatory with a wheelchair or assistive device	Ambulatory without assistance
ILLNESS OR MEDICAL CONDITION	Chronic or acute potentially life threatening illness or condition	Chronic illness, non life threatening but requiring continuous care or treatment	Occasional flare up of chronic illness, medical conditions are controlled by regular medical checkups	No chronic medical problems, generally healthy
SKIN BREAKDOWN	Client has decubitus ulcers and bedsores	Client has evidence of skin break down and is not receiving treatment	Client has evidence of skin breakdown but is receiving treatment	No evidence of skin breakdown or discoloration of the skin
NUTRITION	Client has been diagnosed or appears to be malnourished	Client has evidence of skin break down and is not receiving treatment	Client's minimal nutritional needs are being met	Client is not in any need of treatment or services
DEHYDRATION	Client is dehydrated; client has continuous thirst AND water is not available.	Client states they are continuously thirsty and have difficulty getting water.	Client says she stays thirsty but can get water to drink when she needs it.	Client has no indicators of dehydration
UNMET HEALTH NEEDS	Medical emergency. Has critical unattended health needs. Refuses critical medical services, unable to obtain needed medication, insufficient diet has resulted in serious health problem.	Some observable health needs and not receiving medical care or medicines; has chronic condition or advancing age and receiving no medical care or follow up; insufficient diet. Non compliant with medical advice and treatment.	No obvious unmet health needs, has not seen doctor recently, some assistance needed with care and medication.	Medical and health needs are being met. Medical conditions are being controlled.

MENTAL HEALTH			Score: <u>1.25</u> N/A	
	Crisis	Vulnerable	Stable	Thriving
CONFUSION	Constant confusion	Periodic confusion	Minimal confusion	No confusion
MENTAL ILLNESS	Severe uncontrolled mental illness including untreated depression.	Decompensate form of mental illness, some evidence of depression.	Minimally controlled mental illness, depression that is being treated.	No evidence of any untreated mental illness.
CAPACITY	Obvious lack of capacity to consent, lacking the ability to make informed decisions.	Capacity to consent is questionable or fluctuates, obvious short term memory loss.	Minimal short term and or long term memory loss.	Client appears to be able to make informed decisions.
TREATMENT	Client refuses needed mental health treatment or	Client resists needed treatment or services.	Client needs services and is open to receiving services.	Client is not in need of any mental health services

	services.			or is on medication and mental health condition is stable.
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SUBSTANCE ABUSE			Score: <u>1</u> N/A	
	Crisis	Vulnerable	Stable	Thriving
USE	Chronic alcohol or substance abuse.	Episodic alcohol or substance abuse.	Infrequent episodes of alcohol or substance abuse.	No evidence or history of alcohol or substance abuse.
CAPACITY	Obvious lack of capacity to consent due to substance abuse, inability to make informed decisions.	Capacity to consent is questionable and there is an obvious loss of short or long term memory.	Minimal evidence of short or long term memory loss due to alcohol or substance abuse.	Client appears to be capable of making informed decisions regardless of substance abuse.
TREATMENT	Client refuses needed treatment or services.	Client resists needed treatment or services.	Client needs services and is open to receiving them.	Client does not need any services.

DISABILITIES			Score: <u>1</u> N/A	
	Crisis	Vulnerable	Stable	Thriving
PHYSICAL OR MENTAL DISABILITY	Severe and functionally physical and mental limiting disability.	Moderately severe physical or mental disability.	Minimal severe physical or mental disability.	No severe physical or mental disability.
DEVELOPMENTAL DISABILITY	Has a diagnosis of mental retardation, cerebral palsy, autism epilepsy, or traumatic brain injury AND requires extensive assistance which is rarely or never available or client refuses assistance.	Has a developmental disability and needs substantial assistance, which is often not available or is refused by the client.	Has developmental disability and needs occasional assistance and resources are available most of the time and client accepts help.	Has Developmental disability and has assistance which the client will accept when and if needed.

ACTIVITIES OF DAILY LIVING			Score: <u>2.3</u> N/A	
	Crisis	Vulnerable	Stable	Thriving
BATHING & DRESSING	Completely dependent on others for bathing and dressing.	Requires intermediate amount of assistance with bathing and dressing.	Requires only a little assistance with bathing and dressing.	Client is able to bathe and dress without assistance.
TOILETING	Completely dependent on others for toileting, incontinent, wears adult diapers that must be changed.	Requires substantial assistance with toileting, needs help with diapers, transferring to potty chair or use of bed pan.	Requires minimal assistance with toileting.	Client is able to attend to all toileting needs without assistance.
EATING	Client is unable to feed self.	Requires moderate degree of assistance with eating meals.	Requires a little assistance with eating meals, food cut up, cartons opened.	Client is able to feed self independently.
MEAL PREPARATION	Client is unable to prepare any meals of any type.	Client is unable to prepare anything other than simple meals that require almost no cooking.	Client is able to heat prepared meals in microwave, open cans, operate cook stove.	Client is able to prepare all types of meals independently.
GROCERY SHOPPING / PAYING BILLS	Client is unable to do any grocery shopping or pay bills without assistance.	Client requires a lot of help with grocery shopping and paying of bills.	Client can do grocery shopping and pay bills with only a little Assistance.	Client does not require any help with grocery shopping or the paying of bills.
HOUSEHOLD CHORES	Client is unable to do any household chores.	Client needs substantial assistance with all household chores.	Client needs only a limited amount of help with household chores.	Client is able to do all household chores independently.
MEDICATION MANAGEMENT	Client cannot take and manage prescribed medications without	Client can take and manage medications with some direction.	Client can take and manage medications with minimal direction.	Client is able to take and manage medications independently.

	assistance.			
ADLs	Totally dependent on others for ADL's AND no one is available OR person available is incapable of meeting client's needs OR refuses to provide care; client refuses all assistance AND is totally dependent on others for ADL's.	Needs substantial assistance with any ADL's and dependable assistance is rarely available OR available persons are incapable OR unwilling to meet client's needs OR client refuses help.	Assistance needed with ADL's and assistance is inconsistent or sporadic.	Able to meet needs independently or assistance is available as needed.

INFORMAL SUPPORTS			Score: 1.75 N/A	
	Crisis	Vulnerable	Stable	Thriving
TRANSPORTATION	Client has no transportation options.	Client can arrange transportation but dependability and availability are questionable.	Client is usually able to arrange for transportation for necessary appointments.	Client has transportation available and accessible whenever it is needed.
RELATIVES/FRIENDS ABLE OR WILLING TO ASSIST	Client has no relatives or friends able or willing to assist or geographically available.	Relatives or friends available to assist but have limited skills or knowledge of resources and are not always available.	Relatives or friends available and willing to assist but need some direction and assistance from outside agencies.	Relatives or friends available and willing to assist and are knowledgeable, dependable and capable.
SERVICE AVAILABILITY	Critical services are not available in client's area posing significant risk to the client.	Many necessary services are not available in the client's area, but danger to client is moderate.	A few services are not available to the client, but pose only minimal danger to the client.	All or most critical services are available to the client.
ISOLATION	Client is isolated from community services physically, socially, or geographically posing significant risk to the client.	Client is somewhat isolated from community services by lack of knowledge, or cultural barriers, placing client at moderate risk.	Client is minimally isolated from community services by lack of knowledge or cultural barriers but seems willing to be educated.	Client is not isolated from community services by any physical, social, or geographic barriers.

FORMAL AGENCY SUPPORTS			Score: 2 N/A	
	Crisis	Vulnerable	Stable	Thriving
TRANSPORTATION	Client has no transportation options.	Client is able to arrange for transportation but dependability and availability are questionable.	Client is usually able to arrange for transportation through some means for necessary appointments.	Client has transportation available and accessible whenever transportation is needed.
SERVICE AVAILABILITY	Critical services are not available in client's area posing significant risk to the client.	Many necessary services are not available in the client's area, but safety risk is intermediate.	A few services are not available to the client but pose only a low safety risk to the client.	All or most services are available to the client.
ISOLATION	Client is isolated from community services physically, socially and geographically posing significant risk to the client.	Client is somewhat isolated from community services by lack of knowledge, cultural barriers, placing the client at intermediate risk.	Client is minimally isolated from community services by lack of knowledge or cultural barriers but seems willing to learn.	Client is not isolated from community services by any physical, social or geographic barriers

### CARETAKER/PERPETRATOR

- These risk factors combine both Caretaker and Perpetrator
- Usually one and the same person
- But Not Always!
- The Safety Assessment is addressing Risks, look at how one may cancel out the other, keep focus on the safety of the CLIENT

Score: \_\_\_\_ N/A

INTERVIEW	interviewed alone and or from receiving necessary medical services.	services placing client at intermediate risks.	cooperates after some persuasion.	receive services that are necessary to protect the client.
HOSTILITY / PUNISHMENT	Client is being punished, improperly restrained, confined or isolated from others.	Client is being threatened with punishment or is the subject of hostile or mean spirited jokes.	Client is being manipulated on how to feel or how to think.	Client does not appear to be experiencing any verbal or mental threats or hostility.

CATEGORIES	Crisis=4	Vulnerable=3	Stable=2	Thriving=1	N/A=0
ENVIRONMENT				1.5	
FINANCIAL				1.6	
PHYSICAL HEALTH				1.3	
MENTAL HEALTH				1.25	
SUBSTANCE ABUSE				1	
DISABILITIES				1	
ACTIVITIES OF DAILY LIVING			2.3		
INFORMAL SUPPORTS				1.75	
FORMAL AGENCY SUPPORTS			2		
CARETAKER/PERPETRATOR					N/A
ELEMENTS OF ABUSE, NEGLECT, EXPLOITATION & SELF NEGLECT					N/A

SCORE: 1.5  
Total divided by # of categories not marked N/A